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LIST OF SPECIFICATIONS FOR ECONOMIC OPERATORS v1.0

This document details the list of specifications of the EU Secondary Repository and Router.

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Summary of changes

Date	Version	Done by	Comment
21.02.2019	1.0	Dentsu Aegis Network	First release

Distribution

Date	Version	Submitted to
21.02.2019	1.0	Published

Table of Contents

1	INTRODUCTION	7
1.1	TRACEABILITY SYSTEM OVERVIEW.....	7
1.2	SCOPE AND OBJECTIVES.....	7
1.3	CONVENTIONS	7
1.3.1	<i>Message naming convention</i>	<i>8</i>
1.3.2	<i>Message and codes sample</i>	<i>8</i>
2	DEFINITIONS	9
3	REPOSITORIES SYSTEM OVERVIEW	11
3.1	KEY DESIGN PRINCIPLES.....	11
3.2	ROLES OF THE REPOSITORIES SYSTEM COMPONENTS	11
3.2.1	<i>The Primary repositories.....</i>	<i>12</i>
3.2.2	<i>The Router</i>	<i>12</i>
3.2.3	<i>The Secondary repository</i>	<i>12</i>
3.3	OVERVIEW OF DATA DICTIONARY PROCESSES	12
3.3.1	<i>Identifier codes for Economic operators, Facilities and Machines</i>	<i>12</i>
3.3.2	<i>Unique identifiers (UIs) for Unit packets and Aggregated packaging of tobacco products</i>	<i>14</i>
3.3.3	<i>Report on Product movements</i>	<i>15</i>
3.3.4	<i>Report on Transactional events</i>	<i>16</i>
3.3.5	<i>Recalls</i>	<i>16</i>
3.4	SYSTEM ARCHITECTURE FOR ECONOMIC OPERATORS	18
4	PROCESSES DESCRIPTION	20
4.1	ISSUING IDENTIFIER CODES.....	20
4.1.1	<i>Issuing of an Economic operator Identifier code</i>	<i>20</i>
4.1.2	<i>Correction of information concerning an Economic operator</i>	<i>21</i>
4.1.3	<i>De-registration of Economic operator Identifier code</i>	<i>22</i>
4.1.4	<i>Issuing of a Facility Identifier code</i>	<i>23</i>
4.1.5	<i>Correction of information concerning a Facility Identifier code</i>	<i>25</i>
4.1.6	<i>De-registration of a Facility Identifier code</i>	<i>26</i>
4.2	ISSUING UNIQUE IDENTIFIERS (UIS).....	27
4.2.1	<i>Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging by ID issuers</i>	<i>27</i>
4.2.2	<i>Deactivation of unit level Unique identifiers (uUIs)</i>	<i>30</i>
4.2.3	<i>Deactivation of aggregated level Unique identifiers (aUIs)</i>	<i>32</i>
4.3	REPORTING OPERATIONAL EVENTS (PRODUCT MOVEMENT INFORMATION).....	33
4.3.1	<i>Application of aggregated level Unique identifiers (aUIs) on Aggregated packaging</i>	<i>33</i>
4.3.2	<i>Dispatch of tobacco products from a Facility</i>	<i>34</i>
4.3.3	<i>Arrival of tobacco products at a Facility</i>	<i>36</i>
4.3.4	<i>Trans-loading</i>	<i>38</i>
4.3.5	<i>Disaggregation of aggregated level Unique identifier (UI)</i>	<i>39</i>
4.3.6	<i>Delivery carried out with a vending van to multiple retail outlets.....</i>	<i>40</i>
4.4	REPORTING TRANSACTIONAL EVENTS (TRADE INFORMATION)	42
4.4.1	<i>Issuing of the invoice</i>	<i>42</i>
4.4.2	<i>Issuing of the order number.....</i>	<i>43</i>
4.4.3	<i>Receipt of the payment.....</i>	<i>44</i>
4.5	RECALLS OF REQUESTS, OPERATIONAL AND TRANSACTIONAL MESSAGES	46
4.5.1	<i>Recalls of requests for aggregated level Unique identifiers (aUIs).....</i>	<i>46</i>
4.5.2	<i>Recalls of operational and transactional messages.....</i>	<i>47</i>
5	INTERFACES	50
5.1	OVERVIEW	50
5.2	SECONDARY REPOSITORY AND ROUTER INTERFACE	50

5.2.1	<i>Methods of interaction</i>	50
5.2.2	<i>Secured communication</i>	51
5.2.3	<i>Version and backward compatibility</i>	51
5.2.4	<i>Message identification and RecallCode</i>	51
5.2.5	<i>Message response</i>	52
5.2.6	<i>Forward Rejected Messages</i>	54
5.2.7	<i>Message integrity and hash</i>	54
5.2.8	<i>Message size</i>	55
5.2.9	<i>Number of simultaneous connections</i>	55
5.2.10	<i>Message limitation</i>	55
6	ROUTER	56
6.1	OVERVIEW	56
6.2	RECALL MANAGEMENT	56
7	MESSAGE VALIDATION	57
7.1	OVERVIEW	57
7.1.1	<i>Principle: No duplication of validation</i>	57
7.2	TYPE OF VALIDATION	57
7.2.1	<i>Security validation</i>	57
7.2.2	<i>Message Structure validation</i>	58
7.2.3	<i>Unique Identifiers validation</i>	58
7.2.4	<i>Message Event Time Validation</i>	59
7.2.5	<i>Identification Code validation</i>	59
8	SIGN UP PROCESS	60
8.1	OVERVIEW	60
8.2	OVERALL FLOW	60
8.2.1	<i>Technical Solution Provider</i>	60
8.2.2	<i>Economic Operator Validation</i>	60
9	LIST OF STANDARDS	61
10	REFERENCES	62

Table of figures

Figure 1 Overall system interconnection 11

Figure 3 Identifier codes general data flow 13

Figure 4 Unique identifier (UI) general data flow 14

Figure 5 Product movement data flow 15

Figure 6 Transaction general data flow 16

Figure 7 Recalls general data flow 17

Figure 8 System Interfaces and Connections 18

Figure 9 Data Flow Diagram – Issuing of an Economic operator Identifier code 20

Figure 10 Correction of Information concerning an Economic operator 21

Figure 11 De-registration of an Economic operator 22

Figure 12 Issuing of a Facility Identifier code 24

Figure 13 Correction of Information concerning a Facility 25

Figure 14 Data Flow Diagram – De-registration of a Facility 26

Figure 19 Data Flow Diagram – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Manufacturers and Importers 28

Figure 20 Data Flow Diagram – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Distributors and Wholesalers 29

Figure 22 Data Flow Diagram – Deactivation of unit level Unique identifiers (uUIs) – Request from Distributors and Wholesalers 31

Figure 24 Data Flow Diagram – Deactivation of aggregated level Unique identifiers (aUIs) – Request from Distributors and Wholesalers 32

Figure 27 Data Flow Diagram – Application of aggregated level UIs on Aggregated packaging by Distributors and Wholesalers 34

Figure 29 Data Flow Diagram – Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers 35

Figure 31 Data Flow Diagram – Arrival of tobacco products at a Facility – Report from Distributors and Wholesalers 37

Figure 33 Data Flow Diagram – Trans-loading of tobacco products – Report from Distributors and Wholesalers 38

Figure 35 Data Flow Diagram – Disaggregation of aggregated level UIs – Report from Distributors and Wholesalers 39

Figure 37 Data Flow Diagram – Delivery carried out with a vending van to multiple retail outlets – Report from Distributors and Wholesalers 41

Figure 39 Data Flow Diagram – Issuing of invoice – Report from Distributors and Wholesalers 42

Figure 41 Data Flow Diagram – Issuing of order number – Report from Distributors and Wholesalers 44

Figure 43 Data Flow Diagram – Receipt of payment – Report from Distributors and Wholesaler 45

Figure 46 Data Flow Diagram – Recalls of requests for aggregated level Unique identifiers (aUIs) – Recalls from Distributors and Wholesalers 47

Figure 48 Data Flow Diagram – Recalls of operational and transactional messages – Recalls from Distributors and Wholesalers 48

Figure 49 System interfaces and endpoints 50

Figure 54 Router Data Flow 56

Figure 55 Validation Overview 57

1 Introduction

1.1 Traceability system overview

On 3rd April 2014, the European Parliament and the Council adopted the Tobacco Products Directive 2014/40/EU (TPD). Article 15 of the TPD aims to address illicit trade in tobacco products by introducing a system of traceability in the Union.

Under this Traceability system, all Unit packets of tobacco products produced in, destined for, or placed on the EU market need to display a Unique Identifier (UI). The operational and transactional movements of the tobacco products must be recorded throughout the supply chain, from the Manufacturer to the last level before the first retail outlet. Information recorded is stored by independent data storage providers (Primary and Secondary Repositories), and the data is made available for regulatory purposes to the competent authorities of the Member States and to the Commission.

This traceability system will contribute to reducing the circulation of tobacco products not compliant with the TPD and other tobacco control legislation. It will also reduce artificially cheap supplies of illegal tobacco products that affect the uptake and general prevalence of smoking. In this regard, it addresses the obligations of the European Union (EU) under the Framework Convention for Tobacco Control (FCTC). In the end, the Traceability system will play an important role in protecting public health, state budgets and Economic operators.

1.2 Scope and objectives

The production and publication of a List of specifications and a Common Data Dictionary is required as an obligatory task for the providers of the Secondary repository in Article 28 of the Implementing Regulation (EU) 2018/574.

The objective of this document is to communicate to the Traceability system stakeholders the list of specifications required to allow the data exchanges with the Secondary repository.

The document is structured as follows:

- **Section 2 - Definitions.** The description of the key concepts as defined in the EU Regulation.
- **Section 3 – Repositories system overview.** A general description of the Repositories system including key design principles, role of the components, overview of the main processes and data flows, and overview of the system architecture.
- **Section 4 – Processes description.** A detailed description of each of the process and its related data flows and messages, including requests of codes, report on operational events, reports on transactional events and recalls.
- **Section 5 – Interfaces.** Description of the Traceability System interfaces between its various components.
- **Section 6 – Router.** Brief summary of the component in charge of routing information from ID Issuers and Supply Chain to related Manufacturer's Primary Systems.
- **Section 7 – Messages validation.** Brief description of the validation mechanisms that the overall system should ensure to meet Regulation purposes.

1.3 Conventions

1.3.1 Message naming convention

The messages are described by a 3 or 4 alphanumeric characters code. In parenthesis, the message type reference of the Annex II of the Commission Implementing Regulation (EU) 2018/574 will be indicated.

Example: REO (1.1)

1.3.2 Message and codes sample

```
{  
  "Errors": null  
}
```


2 Definitions

The following definitions are described in Article 2 of the Tobacco Products Directive 2014/40/EU and in Article 2 and 3 of the Commission Implementing Regulation (EU) 2018/574. They are classified in alphabetical order.

Aggregated packaging is any packaging containing more than one Unit packet of tobacco products.

Data carrier is a means of representing data in machine readable form.

Economic operators are any natural or legal person who is involved in the trade of tobacco products, including for export, from the manufacturer to the last economic operator before the first retail outlet. This includes, but is not limited to, manufacturers, importers, wholesalers and distributors, as well as transport companies or providers of courier services.

Facility is any location, building or vending machine where tobacco products are manufactured, stored, or placed on the market.

First retail outlet is the Facility where tobacco products are placed on the market for the first time, including vending machines used for the sale of tobacco products.

ID Issuer is an entity appointed by each Member State, responsible for generating and issuing Unique identifier (UI) for Unit packet and Aggregated packaging of tobacco products.

Importer of tobacco or related products is the owner of, or a person having the right of disposal over, tobacco or related products that have been brought into the territory of the Union.

Machine is the equipment used for the manufacture of tobacco products which is integral to the manufacturing process.

Manufacturer is any natural or legal person who manufactures a product or has a product designed or manufactured, and markets that product under their name or trademark.

Offline flat-files are the electronic files established and maintained by each ID Issuer that contains data in a plain text format allowing for the extraction of the information encoded in the Unique identifier (UI) (excluding the time stamp) used at the Unit packet and Aggregated packaging levels without accessing the Repositories system.

Primary repository is a repository storing traceability data relating exclusively to the products of a given Manufacturer or Importer.

Registry means the record established and maintained by each ID Issuer of all the Identifier codes generated for Economic operators, Operators of first retail outlets, Facilities and Machines, along with the corresponding information.

Repositories system is the system consisting of the Primary repositories, the Secondary repository and the Router.

Retail outlet is any outlet where tobacco products are placed on the market, including by a natural person.

Router is a device established within the Secondary repository that transfers data between different components of the Repositories system.

Secondary repository is a repository containing a copy of all traceability data stored in the Primary repositories.

Trans-loading is any transfer of tobacco products from one vehicle to another during which tobacco products do not enter and exit a Facility.

Unique identifier (UI) is the alphanumeric code enabling the identification of a Unit packet or an Aggregated packaging of tobacco product.

Unit packet is the smallest individual packaging of a tobacco or related product that is placed in the market.

Vending van is a vehicle used for the delivery of tobacco products to multiple retail outlets in quantities that have not been predetermined in advance of the delivery.

3 Repositories system overview

3.1 Key design principles

The Repositories system is composed of the following sub-systems: Primary repositories, Secondary repository, Router. The sub-systems shall be fully interoperable with one another, irrespective of the service provider.

The European Commission Implementing Regulation (EU) 2018/574 provided the following key design principles:

- Whenever data are received by the Primary repositories on the basis of a reporting activity, or for any other permitted reason, it shall be forwarded to the Secondary repository instantaneously.
- Economic operators other than Manufacturers and Importers shall send the information recorded to the Router, which shall transfer it to the Primary repository serving the Manufacturer or Importer whose tobacco products are concerned. A copy of those data shall be transferred instantaneously to the Secondary repository.
- ID issuers shall ensure that an up-to-date copy of all offline flat-files, registries and related explanatory notes are electronically provided via the Router to the Secondary repository.

3.2 Roles of the Repositories system components

The following diagram represents the overall system interconnection.

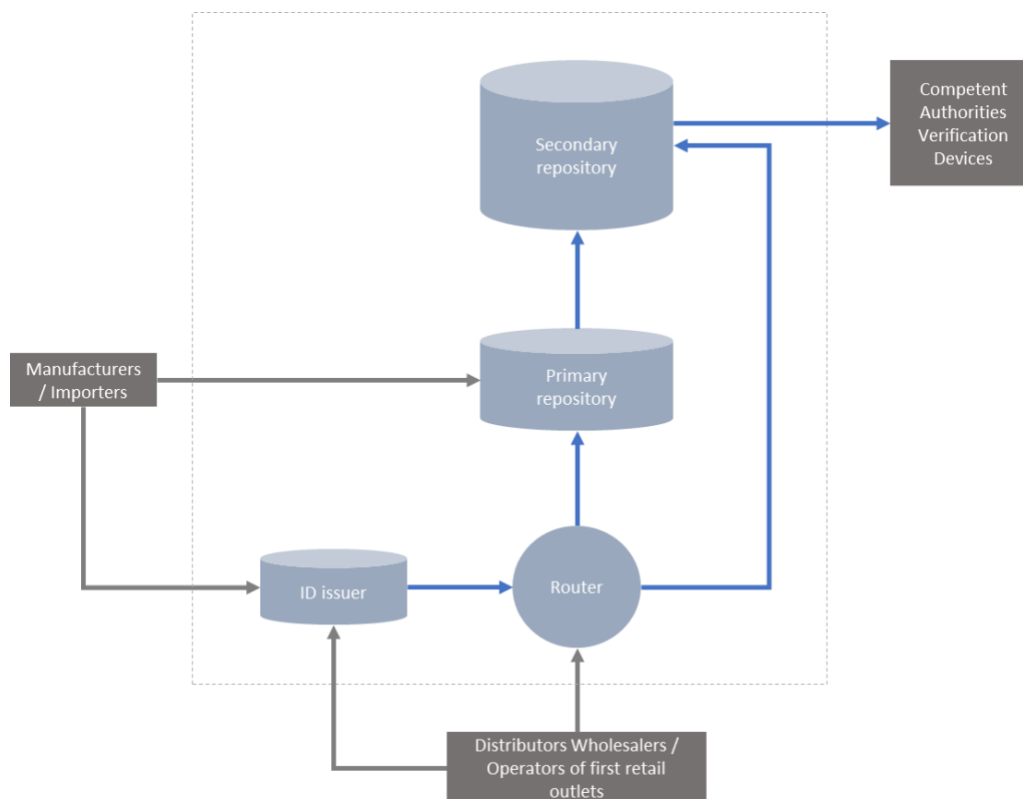


Figure 1 Overall system interconnection

3.2.1 The Primary repositories

The Primary repositories are repositories contracted by each Manufacturer and Importer. The Primary repositories store product movements and transactional data related to the tobacco products Unit packets and Aggregated packaging of its corresponding Manufacturers and Importers. When the reports come from Distributors and Wholesalers, the data is sent via the Router.

3.2.2 The Router

The Router dispatches the traceability data from the wholesalers, distributors, transport companies or providers of courier services to the Primary repository related to the Manufacturer or Importer of the dispatched tobacco products. The Router also transmits the Unique Identifiers generated by the ID Issuers to the relevant Primary repository or to the Secondary Repository if the UIs were requested by an Economic operator other than a Manufacturer or Importer. Finally, the Router transmits the offline flat-files and registries from the ID issuers to the Secondary repository.

3.2.3 The Secondary repository

The Secondary repository is the “copy” of the Primary repositories for all traceability data. All data will first be centralized in the Primary repositories and then sent to the Secondary repository, with the exception of:

- the requests for aggregated level UIs by Distributors and Wholesalers;
- the reports on application of aggregated level UIs by Distributors and Wholesalers;
- the transfer by ID issuers of offline flat-files, registries and algorithms to the Secondary repository.

Those are directly transmitted via the Router to the Secondary repository.

3.3 Overview of Data Dictionary processes

The following diagrams describe the different key processes and corresponding data flows that are implemented in the Traceability system. These processes and data flows are described in detail in section 4.

3.3.1 Identifier codes for Economic operators, Facilities and Machines

The following diagram describes the key data flows whereby Economic operators such as Manufacturers, Importers, Distributors, Wholesalers and Operators of first retail outlets request to an ID Issuer the generation of Identifier codes, the correction of information or a de-registration. Those Identifier codes can identify either the Economic operator, a Facility or a Machine.

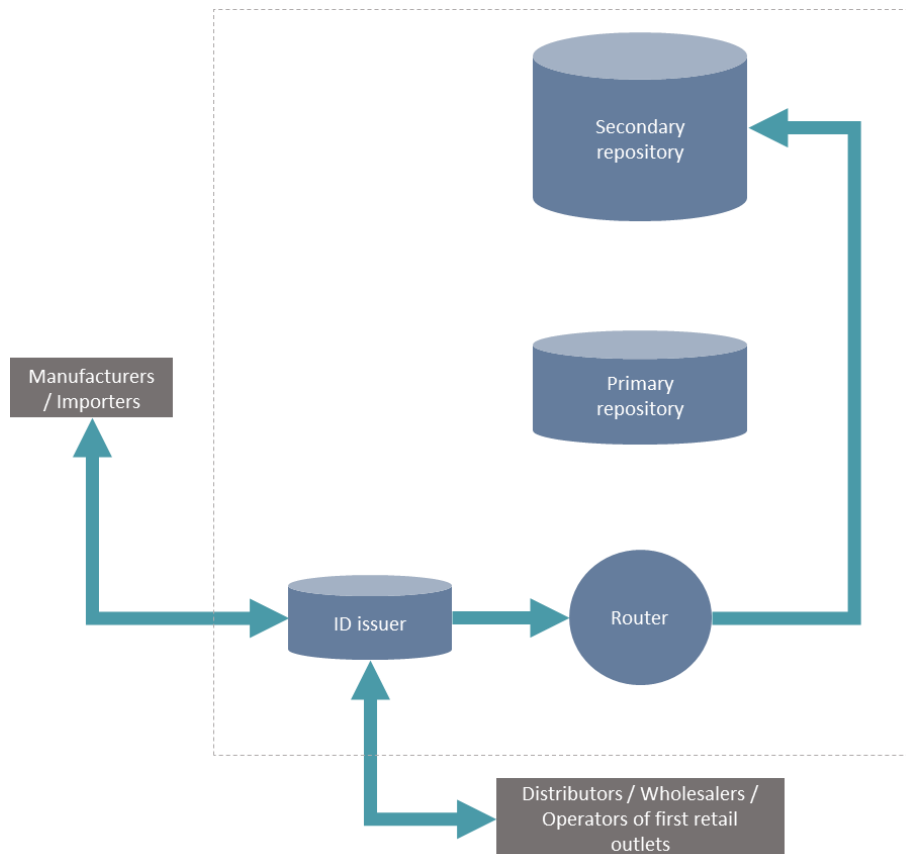


Figure 2 Identifier codes general data flow

The Economic operators exchange the necessary information with the ID issuer. The ID issuer issues the Identifier codes to the requesting Economic operators, and transfers offline flat-files and registries directly to the Secondary repository through the Router.

3.3.2 Unique identifiers (UIs) for Unit packets and Aggregated packaging of tobacco products

The following diagram describes the key data flows whereby Economic operators such as Manufacturers, Importers, Distributors, and Wholesalers request the issuing or deactivation of Unique identifiers (UIs) either for Unit packets or for Aggregated packaging of tobacco products.

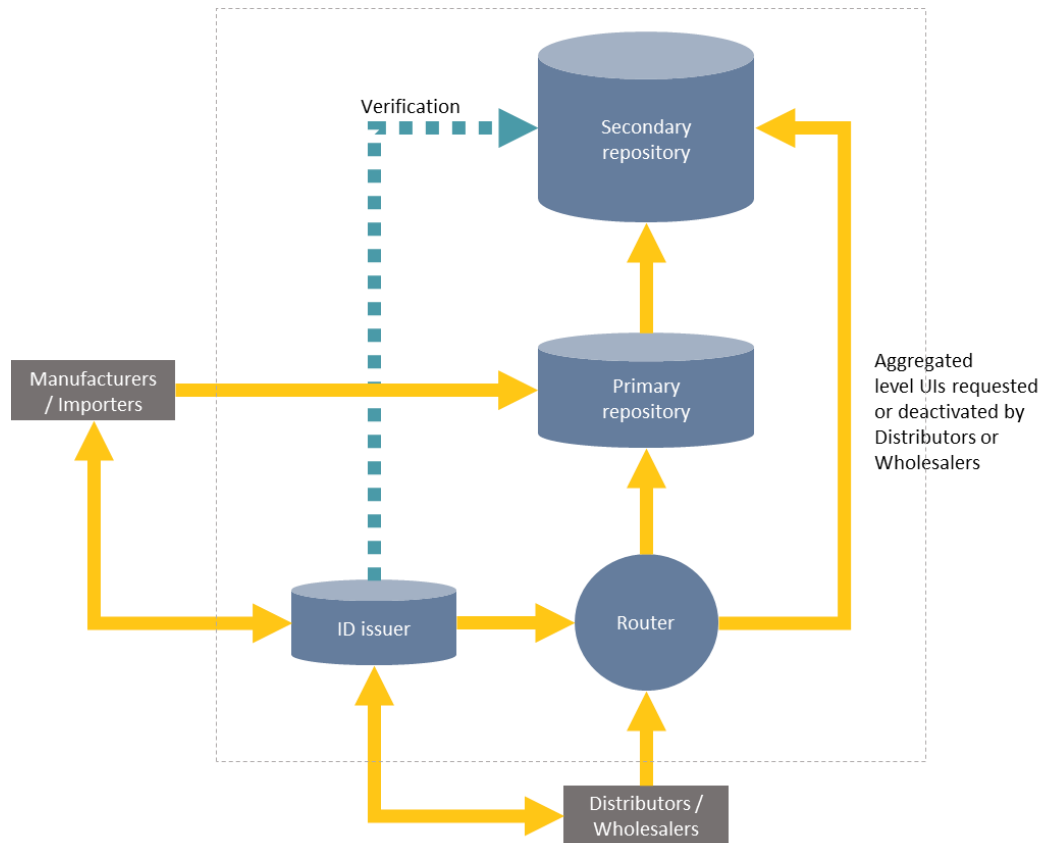


Figure 3 Unique identifier (UI) general data flow

The Economic operators exchange the necessary information with the ID issuer. The ID issuer generates the codes and transmits the data to the corresponding Primary repositories or to the Secondary repository via the Router, before issuing the Unique identifiers (UIs) to the requesting Economic operators. The Primary repositories instantaneously forward the data to the Secondary repository.

3.3.3 Report on Product movements

The following diagram describes the key data flows whereby Economic operators such as Manufacturers, Importers, Distributors, Wholesalers, Transport Companies or Providers of courier services report on tobacco products movements (application of UIs, dispatch, arrival, trans-loading, disaggregation, delivery carried out with a vending van to retail outlet).

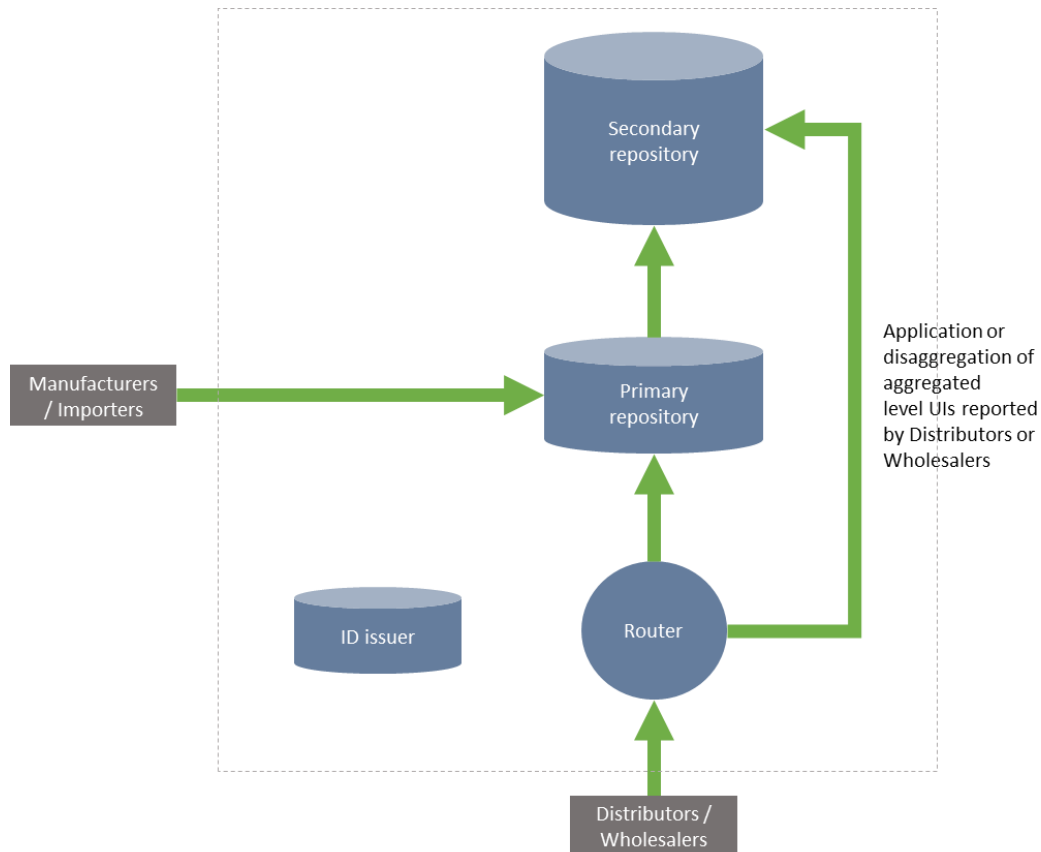


Figure 4 Product movement data flow

The Manufacturers and Importers store the data directly into their Primary repositories. The Distributors and Wholesalers send reports to the corresponding Primary repositories via the Router. The Primary repositories instantaneously forward the data to the Secondary repository. For the events related to the application of aggregated level UIs by Distributors and Wholesalers, those are reported directly to the Secondary repository via the Router.

3.3.4 Report on Transactional events

The following diagram describes the key data flows whereby Economic operators such as Manufacturers, Importers, Distributors, and Wholesalers report on tobacco products transactional events (issuing of the order number, issuing of the invoice, and receipt of the payment).

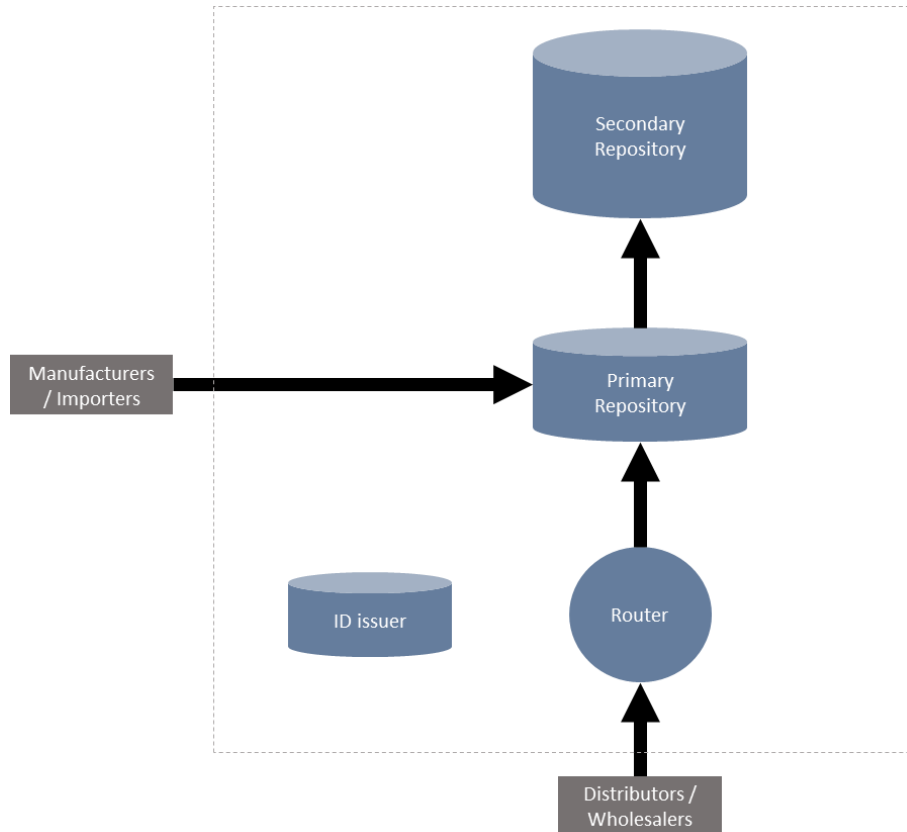


Figure 5 Transaction general data flow

The Manufacturers and Importers store the data directly into their Primary repositories. The Distributors and Wholesalers send reports to the corresponding Primary repositories via the Router. The Primary repositories instantaneously forward the data to the Secondary repository.

3.3.5 Recalls

Recalls are to be delivered in the following flow. The system containing the Primary repositories, the Secondary repository and the Router is to be regarded as one system.

This system needs to have an efficient method of recalling an event over the whole group of repositories. To facilitate it, it is the point of entry of the system that must generate the recall code.

Use case 1. Router entry in the supply chain:

(i) The Economic operator calls the Router; (ii) the Router generates a UUID; (iii) the Router passes it to the relevant Primary repository; (iv) the Primary repository forwards it to the Secondary repository.

Should a recall be required, this can only be initiated from the Router, by the Distributor Economic operator. The Router does the RCL call to the Router, the Router forwards this to the correct Primary repository, the Primary repository forwards this to the Secondary repository.

Use case 2. Primary repository entry from the Manufacturer system.

(i) The Economic operator calls the Primary repository; (ii) the Primary repository generates a UUID; (iii) the Primary repository passes this to the Secondary repository.

Should a recall be required, this can only be initiated from the Primary repository, by the Manufacturer Economic operator. The Primary repository does the RCL call to the Secondary repository.

Technical details:

The method the entry system must use to send the generated recall id is via the “code” property in the json payload.

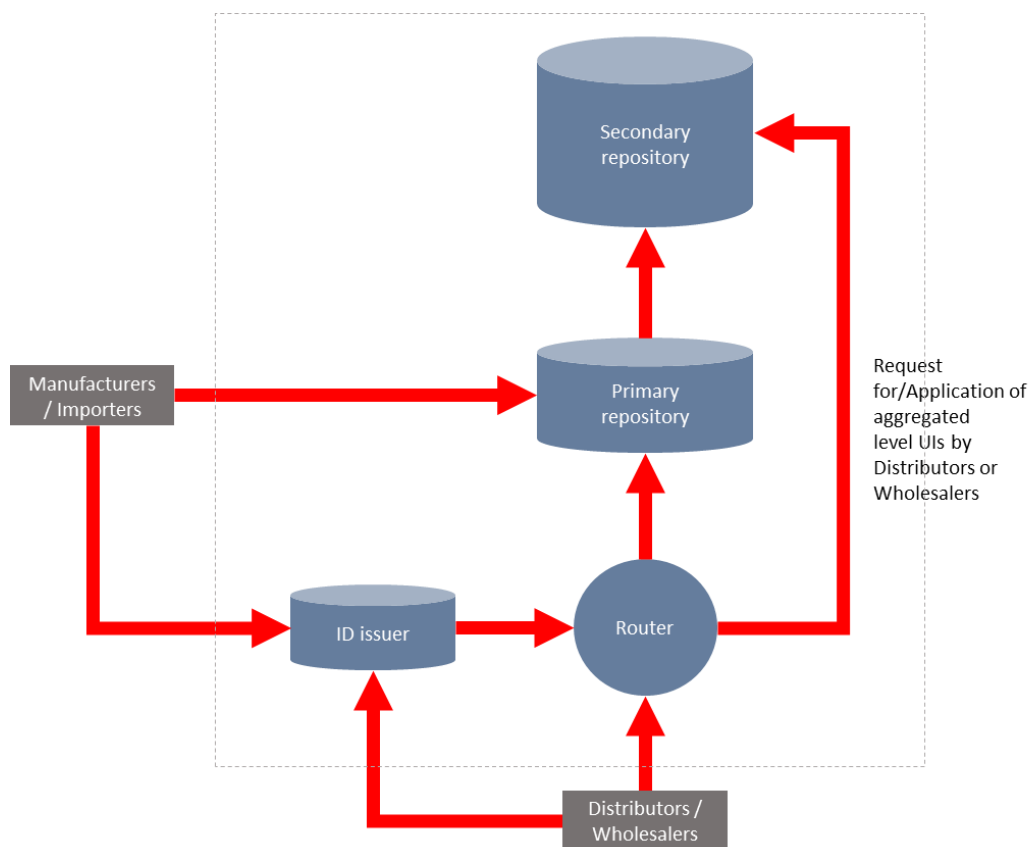


Figure 6 Recalls general data flow

3.4 System Architecture for Economic Operators

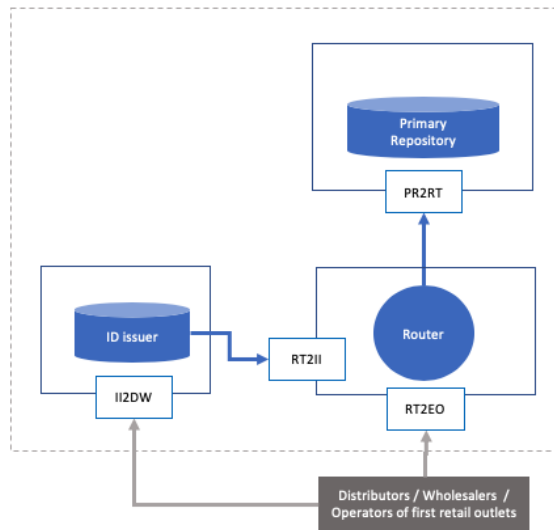


Figure 7 System Interfaces and Connections

The sub-systems of the Traceability System Architecture are as follows:

System	Description
Primary repository	Primary repository is a repository storing traceability data relating exclusively to the products of a given Manufacturer or Importer.
Router	The Router enables messages to be forwarded and/or split to the related Primary repositories according to the declared ownership of the products.
ID issuer	ID Issuers are accountable to accommodate the request and generation of required identifiers (e.g., EOID, FID, MID, upUI, aUI), the storage of all associated data, and the sharing of National Registry, Flat-File, and algorithm compression/encryption techniques.

The interfaces of the Traceability System are as follows:

Interface acronym	Hosting system	Description
I12MN	ID issuer System	Secure interface published to Manufacturers and Importers
I12DW	ID issuer System	Secure interface published to Distributors and Wholesalers
RT2II	Router	Secure interface published by the Router for the ID issuers.
RT2EO	Router	Secure interface published by the Router for Manufacturers and Importers

4 Processes description

The following section describes in details the different processes, the data flows related to them and the list of corresponding messages and interfaces which are described further in the document as well as in the Data Dictionary.

4.1 Issuing Identifier codes

4.1.1 Issuing of an Economic operator Identifier code

4.1.1.1 Description – Issuing of an Economic operator Identifier code

Economic operators (Manufacturers, Importers, Distributors, Wholesalers, Transport Companies or Providers of courier services) and Operators of first retail outlets shall request Economic operator Identifier codes to the competent ID Issuers. The ID Issuers shall transmit the Identifier codes to the requesting Economic operators within two working days.

4.1.1.2 Data Flow Diagram – Issuing of an Economic operator Identifier code

The diagram below depicts the data flows related to the process whereby an ID Issuer issues an Economic operator Identifier code.

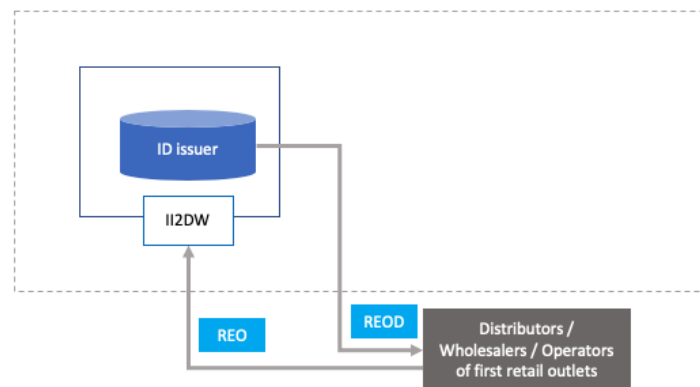


Figure 8 Data Flow Diagram – Issuing of an Economic operator Identifier code

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
REO (1.1)	Request for an Economic operator Identifier code	Economic operators (Manufacturers, Importers, Distributors, Wholesalers) Operators of first retail outlets		ID issuer	

REOD	Issuing the Economic operator Identifier code	ID issuer		Economic operators (Manufacturers, Importers, Distributors, Wholesalers) Operators of first retail outlets	
------	---	-----------	--	--	--

4.1.1.3 Messages – Issuing of an Economic operator Identifier code

The table below summarizes the messages and interfaces related to the process whereby the ID Issuer issues an Economic operator and issues an Economic operator Identifier code.

Process	Message code	Interface
Registration of an Economic operator	REO (1.1)	II2MN II2DW
	REOD	II2MN II2DW

4.1.2 Correction of information concerning an Economic operator

4.1.2.1 Description – Correction of Information concerning an Economic operator

Economic operators (Manufacturers, Importers, Distributors, Wholesalers) and Operators of first retail outlets can request to the competent ID Issuers the correction of the information submitted in the initial application form for Economic operator Identifier codes.

4.1.2.2 Data Flow Diagram – Correction of Information concerning an Economic operator

The diagram below depicts the data flows related to the process whereby an Economic operator or Operator of first retail outlets requests the correction of information submitted in the initial application form for Economic operator Identifier codes.

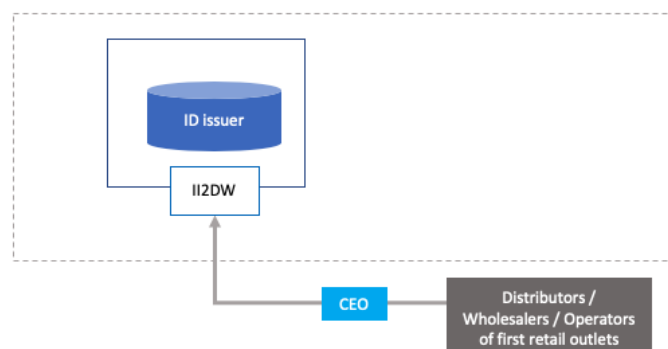


Figure 9 Correction of Information concerning an Economic operator

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
CEO (1.2)	Request for the correction of information concerning an Economic operator	Economic operators (Manufacturers, Importers, Distributors, Wholesalers) Operators of first retail outlets		ID issuer	

4.1.2.3 Messages – Correction of Information concerning an Economic operator

The table below summarizes the messages and interfaces related to the process whereby the ID issuer corrects information concerning an Economic operator or an Operator of first retail outlet.

Process	Message code	Interface
Correction of information concerning an Economic operator or an Operator of first retail outlet.	CEO (1.2)	I12MN I12DW

4.1.3 De-registration of Economic operator Identifier code

4.1.3.1 Description – De-registration of Economic operator Identifier code

Economic operators (Manufacturers, Importers, Distributors, Wholesalers) and Operators of first retail outlets can request to the competent ID issuers their de-registration.

4.1.3.2 Data Flow Diagram – De-registration of Economic operator Identifier code

The diagram below depicts the data flows related to the process whereby an ID Issuer de-registers an Economic operator or an Operator of a first retail outlet.

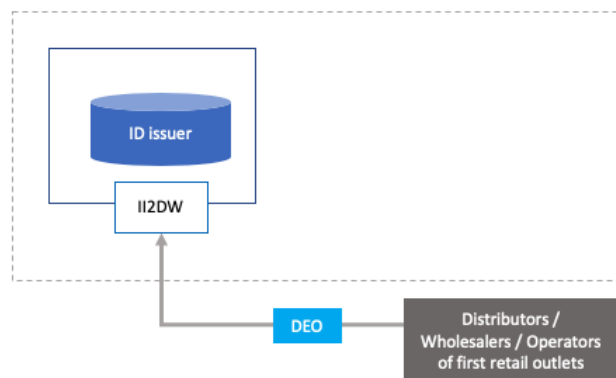


Figure 10 De-registration of an Economic operator

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
DEO (1.3)	Request for the de-registration of an Economic operator	Economic operators (Manufacturers, Importers, Distributors, Wholesalers) Operators of first retail outlets Competent Authorities of Member States		ID issuer	

4.1.3.3 Messages – De-registration of Economic operator Identifier code

The table below summarizes the messages and interfaces related to the process whereby the ID Issuer de-registers an Economic operator or an Operator of a first retail outlet.

Process	Message code	Interface
De-registration of an Economic operator or an Operator of first retail outlet.	(1.3)	II2MN II2DW
De-registration of related Facilities	(1.6)	II2MN II2DW
De-registration of related Machines	DMA (1.9)	II2MN

4.1.4 Issuing of a Facility Identifier code

4.1.4.1 Description – Issuing of a Facility Identifier code

All facilities from manufacturing to the first retail outlet shall be identified by a Facility Identifier code generated by the ID Issuer competent for the territory in which the Facility is located. Economic operators (Manufacturers, Importers, Distributors, Wholesalers) and Operators of first retail outlets shall request Facility Identifier codes to the competent ID Issuer. The ID issuers shall transmit the Identifier codes to the requesting Economic operators within two working days.

In the case of a first retail outlet, the Facility Identifier code may be requested by another registered Economic operator, subject to the consent of the operator of the first retail outlet.

In the case of manufacturing facilities located outside the Union, it is the responsibility of the Importer established inside the Union to request the related Facility Identifier code to any ID Issuer appointed by a Member State on whose market they place their products.

4.1.4.2 Data Flow Diagram – Issuing of a Facility Identifier code

The diagram below depicts the data flows related to the process whereby an ID Issuer issues a Facility Identifier code.

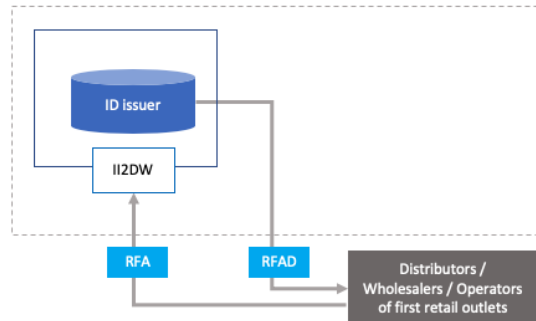


Figure 11 Issuing of a Facility Identifier code

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
RFA (1.4)	Request of a Facility Identifier code	Economic operators (Manufacturers, Importers, Distributors, Wholesalers) Operators of first retail outlets		ID issuer	
RFAD	Response issuing the Facility Identifier code	ID issuer		Economic operators (Manufacturers, Importers, Distributors, Wholesalers) Operators of first retail outlets	

4.1.4.3 Messages – Issuing of a Facility Identifier code

The table below summarizes the messages and interfaces related to the process whereby the ID issuer issues a Facility Identifier code.

Process	Message code	Interface
Registration of a Facility	(1.4) RFA	I12MN

		I12DW
	RFAD	

4.1.5 Correction of information concerning a Facility Identifier code

4.1.5.1 Description – Correction of Information concerning a Facility Identifier code

Economic operators (Manufacturers, Importers, Distributors, Wholesalers) and Operators of first retail outlets can request to the competent ID issuers the correction of the information submitted in the initial application for Facility Identifier codes.

4.1.5.2 Data Flow Diagram – Correction of Information concerning a Facility Identifier code

The diagram below depicts the data flows related to the process whereby an Economic operator or operator of first retail outlets requests the correction of information submitted in the initial application form for Facility Identifier codes.

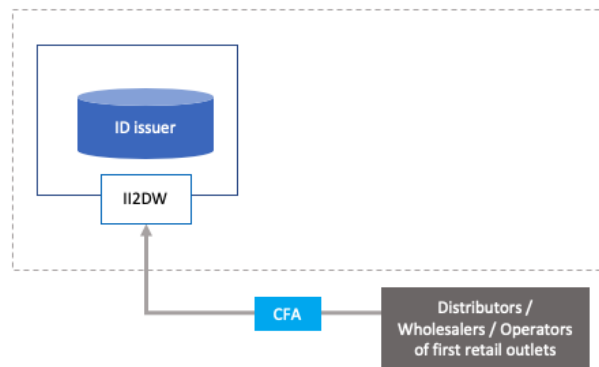


Figure 12 Correction of Information concerning a Facility

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
CFA (1.5)	Request for the correction of information concerning a Facility	Economic operators (Manufacturers, Importers, Distributors, Wholesalers) First retail outlets		ID issuer	

4.1.5.3 Messages – Correction of Information concerning a Facility Identifier Code

The table below summarizes the messages and interfaces related to the process whereby the ID issuer corrects information concerning a Facility.

Process	Message code	Interface
Correction of information concerning a Facility	(1.5)	I12MN I2DW

4.1.6 De-registration of a Facility Identifier code

4.1.6.1 Description – De-registration of a Facility Identifier code

Economic operators (Manufacturers, Importers, Distributors, Wholesalers) and Operators of first retail outlets can request to the competent ID issuers the de-registration of a Facility.

Competent Authorities of Member States may also, in accordance with their national laws, require the ID Issuer to deactivate a Facility Identifier code. In this case, this shall lead to the automatic deactivation of related Machine Identifier codes.

4.1.6.2 Data Flow Diagram – De-registration of a Facility Identifier code

The diagram below depicts the data flows related to the process whereby the ID issuer de-registers a Facility.

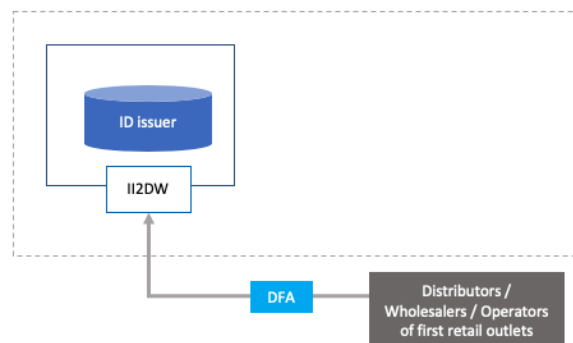


Figure 13 Data Flow Diagram – De-registration of a Facility

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
DFA (1.6)	Request for the de-registration of a Facility	Economic operators (Manufacturers, Importers, Distributors, Wholesalers) First retail outlets		ID issuer	

4.1.6.3 Messages – De-registration of a Facility Identifier code

The table below summarizes the messages and interfaces related to the process whereby the ID issuer de-registers a Facility.

Process	Message code	Interface
De-registration of a Facility	(1.6)	I12MN I12DW
De-registration of related Machines	DMA (1.9)	I12MN

4.2 Issuing Unique identifiers (UIs)

4.2.1 Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging by ID issuers

Note: There are two methods for an Economic Operator to assign or generate aUIs, either by requesting from the competent ID Issuer, or by generating it themselves based on the regulation. GS1 aggregate packaging identifiers are referenced in Annex II, such as SSCC in accordance with ISO15459-1. The following section describes the process whereby the Economic Operator requests aggregated level UIs to the competent ID issuer.

4.2.1.1 *Issuing of aggregated level Unique Identifiers (aUIs) for Aggregated packaging by ID issuers – Request from Manufacturers and Importers*

4.2.1.1.1 *Description – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Manufacturers and Importers*

Each Aggregated packaging of tobacco product shall be marked with an aggregated level Unique identifier (UI). Manufacturers and Importers shall introduce an electronic request to the competent ID Issuer for aggregated level Unique identifiers (aUIs), supplying all the necessary information. Within two working days from the receipt of the request, the ID issuer shall in the order indicated (i) generate the codes, (ii) transmit the codes and the related information via the Router to the corresponding Primary repository and (iii) electronically transmit the codes to the requesting Economic operator. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

Note: Alternatively Economic operators can directly generate aggregated level Unique identifiers (aUIs), independently from any ID issuer and report it using message “Application of aggregated level UIs on aggregated packaging”.

4.2.1.1.2 *Data Flow Diagram – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Manufacturers and Importers*

The diagram below depicts the data flow interaction when Manufacturers and Importers request an ID issuer to generate aggregated level Unique identifiers (aUIs) for Aggregated packaging.

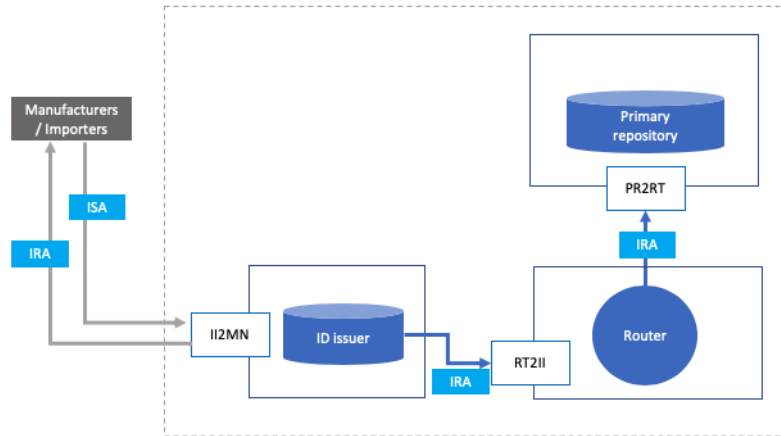


Figure 14 Data Flow Diagram – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Manufacturers and Importers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
ISA (2.2)	Request for aggregated level UIs	Economic operators: Manufacturers, Importers		ID issuer	
IRA	Reporting of aggregated level UIs generated	ID issuer			Router
IRA	Routing of aggregated level UIs generated if message validated by the Router		Router		Primary repository
IRA	Issuing of aggregated level UIs if message validated by the Router	ID issuer		Economic operators: Manufacturers, Importers	

4.2.1.1.3 Messages – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Manufacturers and Importers

The table below summarizes the messages and interfaces related to the process whereby the ID issuer issues aggregated level UIs for Aggregated packaging, after a request is made by Manufacturers or Importers.

Process	Message code	Interface
Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Manufacturers and Importers	ISA (2.2)	II2MN
	IRA	
	IRA	RT2II
	IRA	PR2RT

4.2.1.2 Issuing of aggregated level Unique Identifiers (aUIs) for Aggregated packaging by ID issuers – Request from Distributors and Wholesalers

4.2.1.2.1 Description – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Distributors and Wholesalers

Each Aggregated packaging of tobacco product shall be marked with an aggregated level Unique identifier (aUI). Distributors and Wholesalers shall introduce an electronic request to the competent ID issuer for aggregated level Unique identifiers (aUIs), supplying all the necessary information. Within two working days from the receipt of the request, the ID issuer shall, in the order indicated, (i) generate the codes, (ii) transmit the codes and the related information via the Router to the Secondary repository and (iii) electronically transmit the codes to the requesting Economic operator.

Note: Alternatively Economic operators can directly generate aggregated level Unique identifiers (aUIs), independently from any ID issuer and report it using the “Application of aggregated level UIs on aggregated packaging” message.

4.2.1.2.2 Data Flow Diagram – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Distributors and Wholesalers

The diagram below depicts the data flow interaction when Distributors and Wholesalers requests an ID issuer to generate aggregated level Unique identifiers (aUIs) for Aggregated packaging.

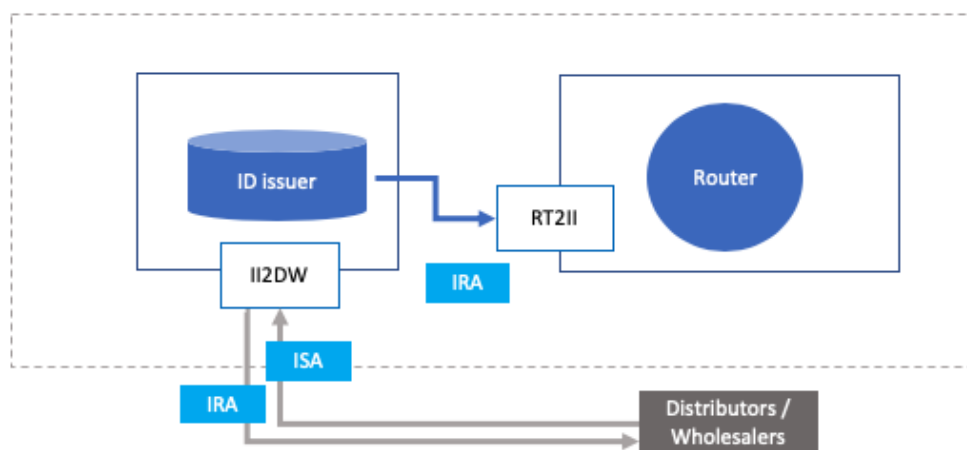


Figure 15 Data Flow Diagram – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
ISA (2.2)	Request for aggregated level UIs	Economic operators: Distributors, Wholesalers		ID issuer	
IRA	Reporting of aggregated level UIs generated	ID issuer			Router
IRA	Issuing of aggregated level UIs if message validated by the Router	ID issuer		Economic operators: Distributors, Wholesalers	

4.2.1.2.3 Messages – Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby the ID issuer issues aggregated level UIs for Aggregated packaging, after a request is made by Distributors or Wholesalers.

Process	Message code	Interface
Issuing of aggregated level Unique identifiers (aUIs) for Aggregated packaging – Request from Distributors and Wholesalers	ISA (2.2)	I12DW
	IRA	
	IRA	RT2II

4.2.2 Deactivation of unit level Unique identifiers (uUIs)

4.2.2.1 Deactivation of unit level Unique identifiers (uUIs) – Request from Distributors and Wholesalers

4.2.2.1.1 Description – Deactivation of unit level Unique identifiers (uUIs) – Request from Distributors and Wholesalers

Following the application of unit level Unique identifiers (uUIs), Distributors and Wholesalers may obtain their deactivation by electronically transmitting the deactivation request to the Router that will route the request to the corresponding Primary repository. The deactivation shall not interfere with the integrity of the information already stored related to the Unique identifier.

Note: This process differs from the process of automatic deactivation of the UIs when the UIs have not been used after the six-month period of validity. It also differs from the process of recall, whereby Distributors and Wholesalers can cancel a request sent within one working day (see section 0).

4.2.2.1.2 Data Flow Diagram – Deactivation of unit level Unique identifiers (uUIs) – Request from Distributors and Wholesalers

The diagram below depicts the data flow interaction when Distributors and Wholesalers request a Primary repository via the Router to deactivate unit level Unique identifiers (uUIs).

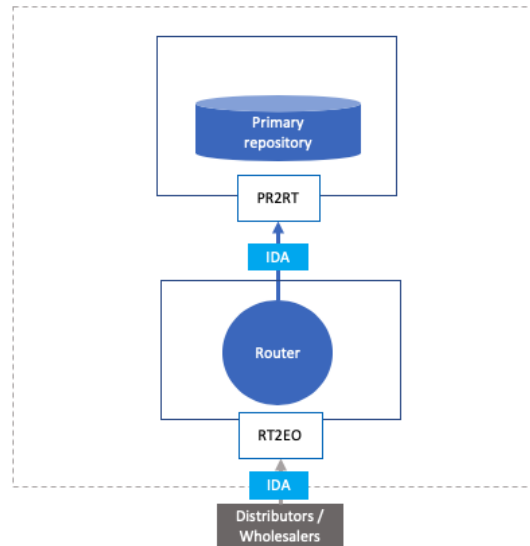


Figure 16 Data Flow Diagram – Deactivation of unit level Unique identifiers (uUIs) – Request from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
IDA (2.3)	Request deactivation of unit level UIs	Economic operators: Distributors, Wholesalers			Router
IDA (2.3)	Routing request deactivation of unit level UIs		Router		Primary repository

4.2.2.1.3 Messages – Deactivation of an aggregated Unique identifiers (aUIs) – Request from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers deactivate aggregated level Unique identifiers (aUIs) in the corresponding Primary repository via the Router.

Process	Message code	Interface
Deactivation of unit level Unique identifiers (uUIs) –	IDA (2.3)	RT2EO
	IDA (2.3)	PR2RT
	IDA (2.3)	SU2PR

Request from Distributors and Wholesalers		
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4.2.3 Deactivation of aggregated level Unique identifiers (aUIs)

4.2.3.1 Deactivation of aggregated level Unique identifiers (aUIs) – Request from Distributors and Wholesalers

4.2.3.1.1 Description – Deactivation of aggregated level Unique identifiers (aUIs) – Request from Distributors and Wholesalers

Following the application of aggregated level Unique identifiers (aUIs), Distributors and Wholesalers may obtain their deactivation by electronically transmitting the deactivation request to the Router that will route the request to the Secondary repository. The deactivation shall not interfere with the integrity of the information already stored related to the Unique identifier.

Note: This process differs from the process of automatic deactivation of the UIs when the UIs have not been used after the six-month period of validity. It also differs from the process of recall, whereby Distributors and Wholesalers can cancel a request sent within one working day (see section 0).

4.2.3.1.2 Data Flow Diagram – Deactivation of aggregated level Unique identifiers (aUIs) – Request from Distributors and Wholesalers

The diagram below depicts the data flow interaction when Distributors and Wholesalers request the Router to deactivate aggregated level Unique identifiers (aUIs).

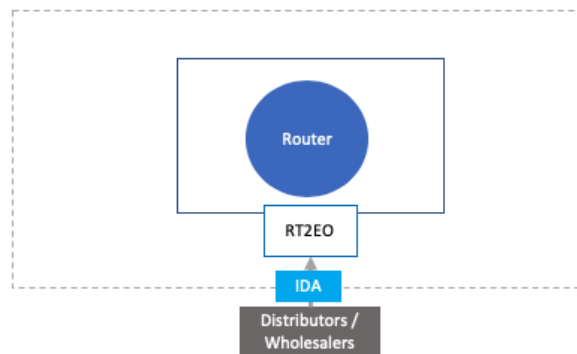


Figure 17 Data Flow Diagram – Deactivation of aggregated level Unique identifiers (aUIs) – Request from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
IDA (2.3)	Request deactivation	Economic operators:			Router

	of unit level UIs	Distributors, Wholesalers			
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4.2.3.1.3 Messages – Deactivation of aggregated level Unique identifiers (aUIs) – Request from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers deactivate aggregated level Unique identifiers (aUIs) via the Router.

Process	Message code	Interface
Deactivation of unit level Unique identifiers (uUIs) – Request from Distributors and Wholesalers	IDA (2.3)	RT2EO

4.3 Reporting operational events (product movement information)

4.3.1 Application of aggregated level Unique identifiers (aUIs) on Aggregated packaging

4.3.1.1 Application of aggregated level Unique identifiers (aUIs) on Aggregated packaging – Report from Distributors and Wholesalers

4.3.1.1.1 Description – Application of aggregated level Unique identifiers (aUIs) on Aggregated packaging – Report from Distributors and Wholesalers

Where Distributors or Wholesalers choose to comply with the recording obligations by means of the recording of aggregated packaging, each Aggregated packaging of tobacco product shall be marked with an aggregated level Unique identifier (UI). Distributors and Wholesalers can request aggregated level Unique identifiers (aUIs) to the competent ID issuer (see section 0). Once they collect the aggregated level codes from the ID issuer, Distributors and Wholesalers incorporate the aggregated level Unique identifiers (aUIs) into the Data Carriers. Once the Data Carriers are applied onto the Aggregated packaging, they are read and verified with scanners. When the Data Carriers are unreadable, Distributors and Wholesalers shall deactivate the corresponding aggregated level UIs (see section 4.2.3). When the Data Carriers are readable, Distributors and Wholesalers shall validate them and report the aggregated level UIs to the Secondary repository via the Router, as described below. The report shall contain the list of all Unique identifiers (UIs) that are subject to aggregation, both at Unit packet and Aggregated packaging levels.

4.3.1.1.2 Data Flow Diagram – Application of aggregated level Unique identifiers (aUIs) on Aggregated packaging – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report aggregated level Unique identifiers (aUIs) to the Secondary repository via the Router.

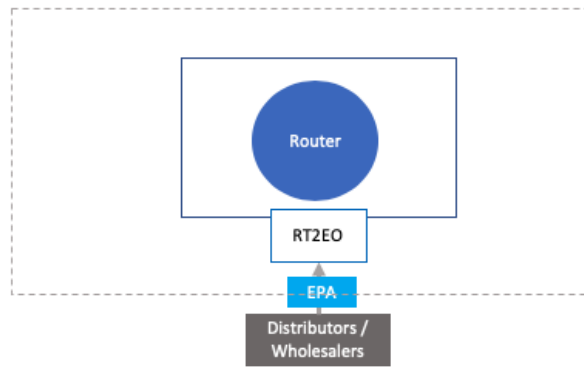


Figure 18 Data Flow Diagram – Application of aggregated level UIs on Aggregated packaging by Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
EPA (3.2)	Report the application of aggregated level UIs on Aggregated packaging	Economic operators: Distributors, Wholesalers			Router

4.3.1.1.3 Messages – Application of aggregated level Unique identifiers (aUIs) on Aggregated packaging by Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesaler report the application of aggregated level Unique identifiers (aUIs) on Aggregated packaging.

Process	Message code	Interface
Application of aggregated level Unique identifiers (aUIs) on Aggregated packaging – Report from Distributors and Wholesalers	EPA (3.2)	RT2EO

4.3.2 Dispatch of tobacco products from a Facility

4.3.2.1 Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers

4.3.2.1.1 Description – Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers

Distributors and Wholesalers shall report to the corresponding Primary repository via the Router the dispatch of tobacco products from a Facility within 24 hours prior to the occurrence of the event. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

4.3.2.1.2 Data Flow Diagram – Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report to the corresponding Primary repository via the Router the dispatch of tobacco products from a Facility.

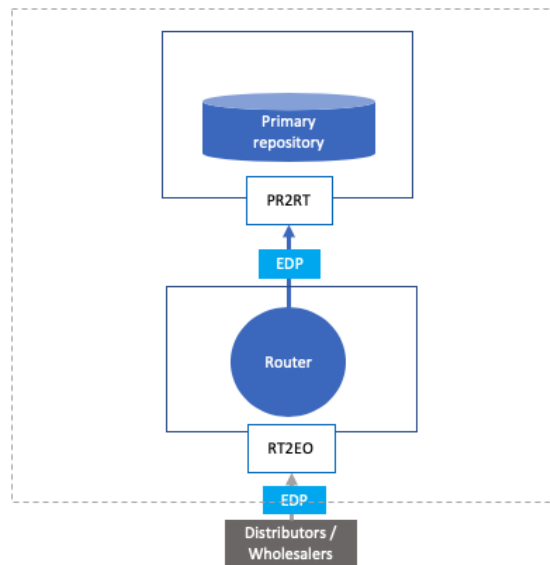


Figure 19 Data Flow Diagram – Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
EDP (3.3)	Report the dispatch of tobacco products from a Facility	Economic operators: Distributors, Wholesalers			Router
EDP (3.3)	Route the information on the dispatch of tobacco products from		Router		Primary repository

	a Facility, if message validated by the Router				
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4.3.2.1.3 Messages – Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report the dispatch of tobacco products from a Facility.

Process	Message code	Interface
Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers	EDP (3.3)	RT2EO
	EDP (3.3)	PR2RT

4.3.3 Arrival of tobacco products at a Facility

4.3.3.1 Arrival of tobacco products at a Facility – Report from Distributors and Wholesalers

4.3.3.1.1 Description – Arrival of tobacco products at a Facility – Report from Distributors and Wholesalers

Distributors and Wholesalers shall report the arrival of tobacco products at a Facility to the corresponding Primary repository via the Router. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

4.3.3.1.2 Data Flow Diagram – Arrival of tobacco products at a Facility – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report to the corresponding Primary repository via the Router the arrival of tobacco products at a Facility.

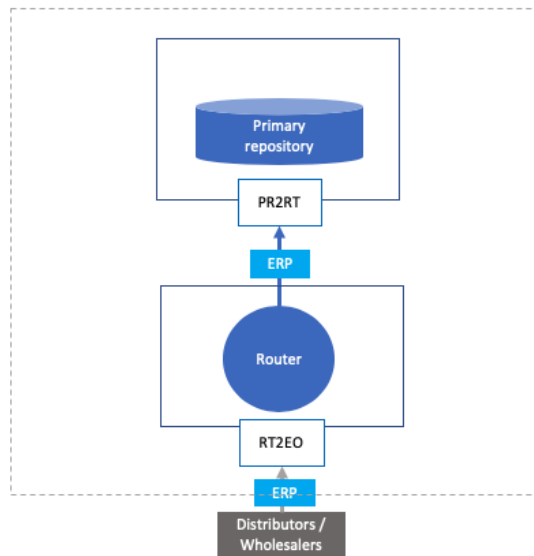


Figure 20 Data Flow Diagram – Arrival of tobacco products at a Facility – Report from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
ERP (3.4)	Report the dispatch of tobacco products from a Facility	Economic operators: Distributors, Wholesalers			Router
ERP (3.4)	Route the information on the dispatch of tobacco products from a Facility, if message validated by the Router		Router		Primary repository

4.3.3.1.3 Messages – Arrival of tobacco products at a Facility – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report the arrival of tobacco products at a Facility.

Process	Message code	Interface
Dispatch of tobacco products from a Facility – Report from Distributors and Wholesalers	ERP (3.4)	RT2EO
	ERP (3.4)	PR2RT

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4.3.4 Trans-loading

4.3.4.1 Trans-loading – Report from Distributors and Wholesalers

4.3.4.1.1 Description – Trans-loading – Report from Distributors and Wholesalers

Trans-loading is any transfer of tobacco products from one vehicle to another during which tobacco products do not enter and exit a Facility. Distributors and Wholesalers shall report trans-loading events to the corresponding Primary repository via the Router, within 24 hours prior to the occurrence of the event. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

4.3.4.1.2 Data Flow Diagram – Trans-loading – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report to the corresponding Primary repository via the Router the trans-loading of tobacco products.

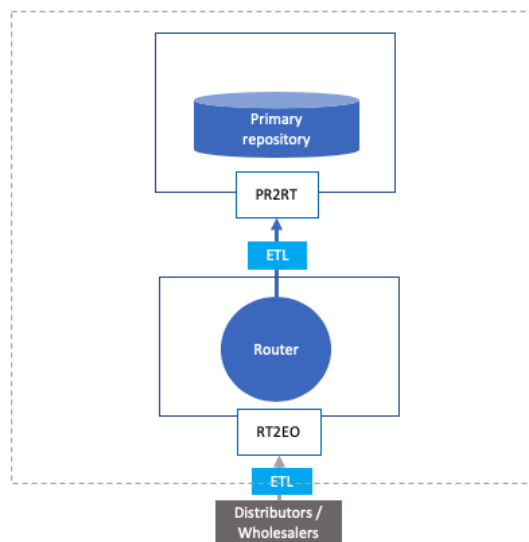


Figure 21 Data Flow Diagram – Trans-loading of tobacco products – Report from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
ETL (3.5)	Report the trans-loading of tobacco products	Economic operators: Distributors, Wholesalers			Router
ETL (3.5)	Route the information on the trans-		Router		Primary repository

	loading of tobacco products, if message validated by the Router				
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4.3.4.1.3 Messages– Trans-loading – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report the trans-loading of tobacco products.

Process	Message code	Interface
Trans-loading of tobacco products – Report from Distributors and Wholesalers	ETL (3.5)	RT2EO
	ETL (3.5)	PR2RT

4.3.5 Disaggregation of aggregated level Unique identifier (UI)

4.3.5.1 Disaggregation of aggregated level UIs – Report from Distributors and Wholesalers

4.3.5.1.1 Description – Disaggregation of aggregated level UIs – Report from Distributors and Wholesalers

In the case of a disaggregation event whereby an aggregated level UI was initially generated by an Economic operator and the Economic operator wants to reuse it in future operations, Distributors and Wholesalers shall report the disaggregation of aggregated level UIs to the Secondary repository via the Router.

4.3.5.1.2 Data Flow Diagram – Disaggregation of aggregated level UIs – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report to the Secondary repository via the Router the disaggregation of aggregated level UIs.

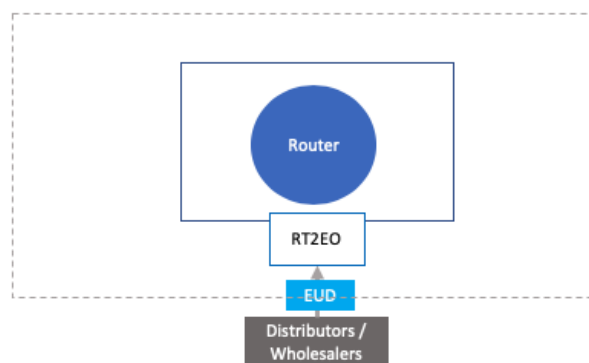


Figure 22 Data Flow Diagram – Disaggregation of aggregated level UIs – Report from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
EUD (3.6)	Report the disaggregation of aggregated level UIs	Economic operators: Distributors, Wholesalers			Router

4.3.5.1.3 Messages – Disaggregation of aggregated level UIs – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report the disaggregation of aggregated level UIs.

Process	Message code	Interface
Disaggregation of aggregated level UIs – Report from Distributors and Wholesalers	EUD (3.6)	RT2EO
	EUD (3.6)	SU2RT

4.3.6 Delivery carried out with a vending van to multiple retail outlets

4.3.6.1 Delivery carried out with a vending van to multiple retail outlets – Report from Distributors and Wholesalers

4.3.6.1.1 Description – Delivery carried out with a vending van to multiple retail outlets – Report from Distributors and Wholesalers

Vending van is a vehicle used for the delivery of tobacco products to multiple retail outlets in quantities that have not been predetermined in advance of the delivery. Distributors and Wholesalers shall report these events to the corresponding Primary repository via the Router. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

4.3.6.1.2 Data Flow Diagram – Delivery carried out with a vending van to multiple retail outlets – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report to the corresponding Primary repository via the Router the trans-loading of tobacco products.

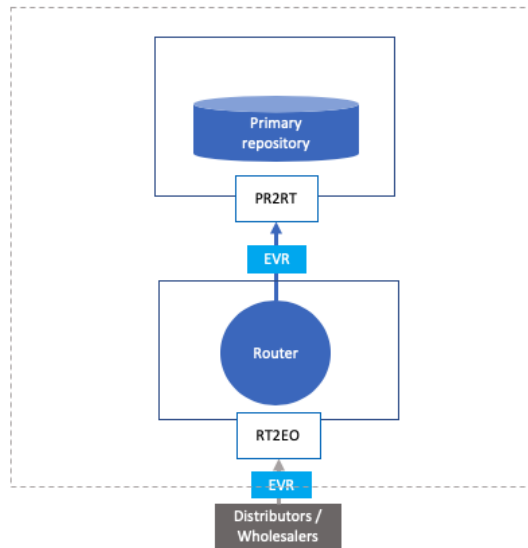


Figure 23 Data Flow Diagram – Delivery carried out with a vending van to multiple retail outlets – Report from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
EVR (3.7)	Report a delivery carried out with a vending van to multiple retail outlets	Economic operators: Distributors, Wholesalers			Router
EVR (3.7)	Route the information on delivery carried out with a vending van to multiple retail outlets, if message validated by the Router		Router		Primary repository

4.3.6.1.3 Messages – Delivery carried out with a vending van to multiple retail outlets – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report a delivery carried out with a vending van to multiple retail outlets.

Process	Message code	Interface
Delivery carried out with a vending van to multiple retail outlets – Report from Distributors and Wholesalers	EVR (3.7)	RT2EO
	EVR (3.7)	PR2RT
	EVR (3.7)	SU2PR

4.4 Reporting transactional events (trade information)

4.4.1 Issuing of the invoice

4.4.1.1 Issuing of invoice – Report from Distributors and Wholesalers

4.4.1.1.1 Description – Issuing of invoice – Report from Distributors and Wholesalers

When in the position of vendors, Distributors and Wholesalers shall report the issuing of invoice to the corresponding Primary repository via the Router. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

4.4.1.1.2 Data Flow Diagram – Issuing of invoice – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report the issuing of invoice to the corresponding Primary repository via the Router.

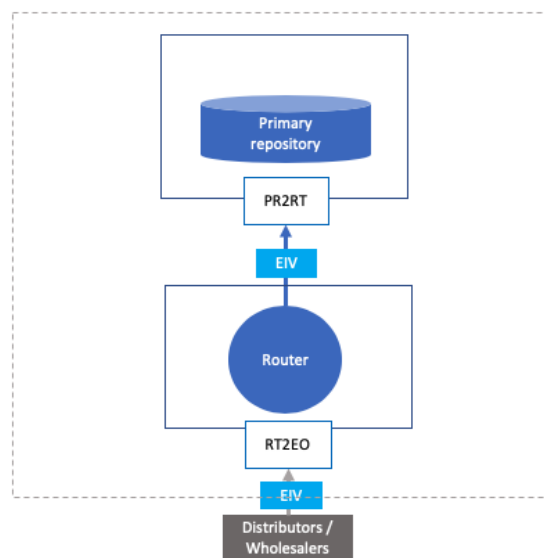


Figure 24 Data Flow Diagram – Issuing of invoice – Report from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System

EIV (4.1)	Report the issuing of invoice	Economic operators: Distributors, Wholesalers			Router
EIV (4.1)	Route the information on the issuing of invoice, if message validated by the Router		Router		Primary repository

4.4.1.1.3 Messages – Issuing of invoice – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report the issuing of invoice to the corresponding Primary repository.

Process	Message code	Interface
Issuing of invoice – Report from Distributors and Wholesalers	EIV (4.1)	RT2EO
	EIV (4.1)	PR2RT

4.4.2 Issuing of the order number

4.4.2.1 Issuing of order number – Report from Distributors and Wholesalers

4.4.2.1.1 Description – Issuing of order number – Report from Distributors and Wholesalers

When in the position of vendors, Distributors and Wholesalers shall report the issuing of order number to the corresponding Primary repository via the Router. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

4.4.2.1.2 Data Flow Diagram – Issuing of order number – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report the issuing of order number to the corresponding Primary repository via the Router.

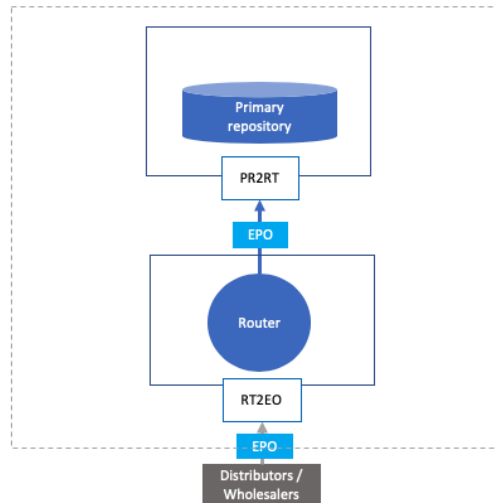


Figure 25 Data Flow Diagram – Issuing of order number – Report from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
EPO (4.2)	Report the issuing of order number	Economic operators: Distributors, Wholesalers			Router
EPO (4.2)	Route the information on the issuing of order number, if message validated by the Router		Router		Primary repository

4.4.2.1.3 Messages – Issuing of order number – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report the issuing of order number to the corresponding Primary repository.

Process	Message code	Interface
Issuing of order number – Report from Distributors and Wholesalers	EPO (4.2)	RT2EO
	EPO (4.2)	PR2RT

4.4.3 Receipt of the payment

4.4.3.1 Receipt of payment – Report from Distributors and Wholesalers

4.4.3.1.1 Description – Receipt of the payment – Report from Distributors and Wholesalers

When in the position of vendors, Distributors and Wholesalers shall report the receipt of payment to the corresponding Primary repository via the Router. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository.

4.4.3.1.2 Data Flow Diagram – Receipt of the payment – Report from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers report the receipt of payment to the corresponding Primary repository via the Router.

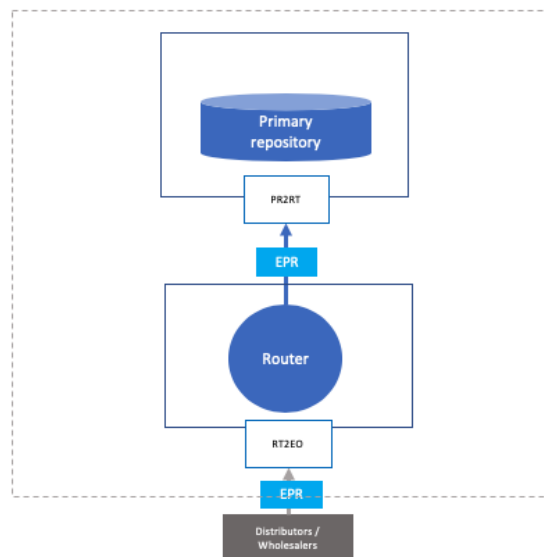


Figure 26 Data Flow Diagram – Receipt of payment – Report from Distributors and Wholesaler

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
EPR (4.3)	Report the receipt of payment	Economic operators: Distributors, Wholesalers			Router
EPR (4.3)	Route the information on the receipt of payment, if message validated by the Router		Router		Primary repository

4.4.3.1.3 Messages – Receipt of the payment – Report from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers report the receipt of payment to the corresponding Primary repository.

Process	Message code	Interface
Receipt of payment – Report from Distributors and Wholesalers	EPR (4.3)	RT2EO
	EPR (4.3)	PR2RT

4.5 Recalls of requests, operational and transactional messages

4.5.1 Recalls of requests for aggregated level Unique identifiers (aUIs)

4.5.1.1 *Recalls of requests for aggregated level Unique identifiers (aUIs) – Recalls from Distributors and Wholesalers*

4.5.1.1.1 Description – Recalls of requests for aggregated level Unique identifiers (aUIs) – Recalls from Distributors and Wholesalers

In order to recall a request concerning the issuing of aggregated level Unique identifiers (aUIs), Distributors and Wholesalers shall send a recall message to the ID issuer, within one working day. The ID issuer shall report the recall to the Secondary repository via the Router.

Note: Those recall messages differ from the requests for deactivation of UIs (see sections 4.2.2 and 4.2.3) which are messages that can't be cancelled. Recall messages also differ from the process of deactivation of Economic operators, Facility and Machines Identifier codes (see sections 4.1.3, 4.1.6 and **Error! Reference source not found.**).

4.5.1.1.2 Data Flow Diagram – Recalls of requests for aggregated level Unique identifiers (aUIs) – Recalls from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers recall a request of aggregated level Unique identifiers (aUIs) with an ID issuer.

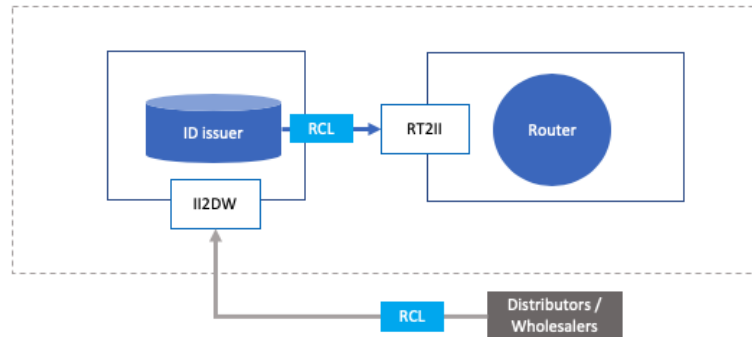


Figure 27 Data Flow Diagram – Recalls of requests for aggregated level Unique identifiers (aUIs) – Recalls from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
RCL (5)	Recall of a request for aggregated level UIs	Economic operators: Distributors, Wholesalers			ID issuer
RCL (5)	Reporting of a request for aggregated level UIs	ID issuer			Router

4.5.1.1.3 Messages – Recalls of requests for aggregated level Unique identifiers (aUIs) – Recalls from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Manufacturers and Importers recall a request for aggregated level Unique identifiers (aUIs) to an ID issuer.

Process	Message code	Interface
Recalls of requests for unit level Unique identifiers (UIs) – Recalls from Distributors and Wholesalers	RCL (5)	II2DW
	RCL (5)	RT2II

4.5.2 Recalls of operational and transactional messages

4.5.2.1 Recalls of operational and transactional messages – Recalls from Distributors and Wholesalers

4.5.2.1.1 Description – Recalls of operational and transactional messages – Recalls from Distributors and Wholesalers

In order to recall reports concerning operational or transactional events, Distributors and Wholesalers shall send a recall message to the Router, including the Message Recall Code previously transmitted by the Router. The Router shall report the recall to the corresponding Primary repository. A copy of those data shall be transferred instantaneously from the Primary repository to the Secondary repository. Reasons for Recalls are either that the reported event did not materialize (for Dispatch and Trans-loading events, since they must be reported prior to the occurrence of the event), the original message contained erroneous information, or other reason. A recall with respect to operational events results in flagging the recalled message as cancelled but does not lead to the deletion of the existing database record.

4.5.2.1.2 Data Flow Diagram – Recalls of operational and transactional messages – Recalls from Distributors and Wholesalers

The diagram below depicts the data flow interaction related to the process whereby Distributors and Wholesalers recall an operational or transactional message to the Router.

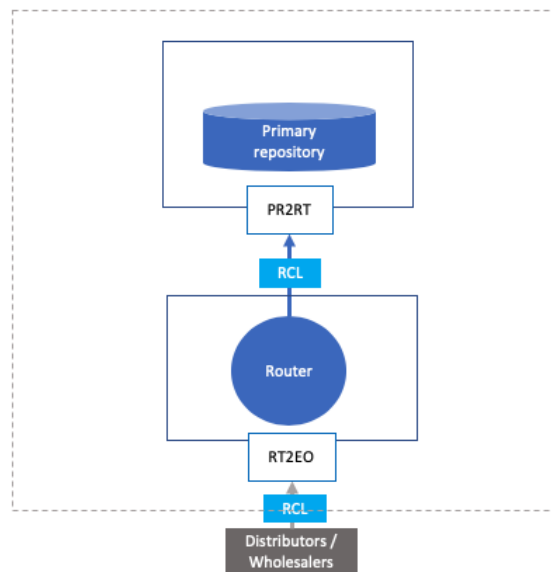


Figure 28 Data Flow Diagram – Recalls of operational and transactional messages – Recalls from Distributors and Wholesalers

The following table summarizes the messages, entities and systems displayed in the data flow diagram above.

Message code	Message description	From		To	
		Entity	System	Entity	System
RCL (5)	Recall of operational and transactional	Economic operators: Distributors			Router

	event message	and Wholesalers			
RCL (5)	Route the Recall of operational and transactional event message, if message validated by the Router		Router		Primary repository

4.5.2.1.3 Messages – Recalls of operational and transactional messages – Recalls from Distributors and Wholesalers

The table below summarizes the messages and interfaces related to the process whereby Distributors and Wholesalers recall an operational or transactional message to the Router.

Process	Message code	Interface
Recall of operational and transactional event message – Recalls from Distributors and Wholesalers	RCL (5)	RT2EO
	RCL (5)	PR2RT

5 Interfaces

5.1 Overview

The interfaces of the Traceability System are as follows:

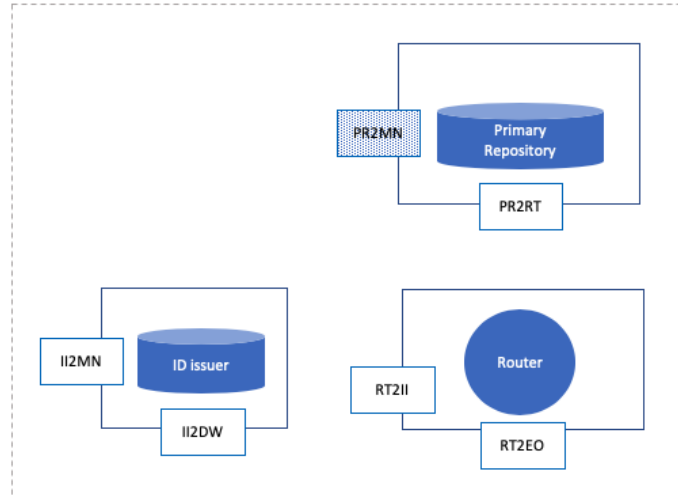


Figure 29 System interfaces and endpoints

5.2 Secondary repository and Router interface

The Secondary repository offers two methods of interaction:

- a) An application programmable interface (API)
This is the main entry point for data ingress from the ID issuer, the Primary repositories and the Router.
- b) A graphical user interface (GUI)
The GUI is used for reporting purposes and some entity sign up processes.

All of the GUIs offered rely on browser-based html/JavaScript technics and support current browsers from major browser suppliers (e.g. Google Chrome, Internet Explorer, Firefox, Opera)

Interface acronym	Hosting system	Description
RT2II	Router	Secure interface published by the Router for the ID issuers.
RT2EO	Router	Secure interface published by the Router for Manufacturers and Importers

5.2.1 Methods of interaction.

The API is offered with an http based RestAPI with JSON parameters. Details of the interfaces offered, and supported messages are defined in this document.

5.2.2 Secured communication

Communication between the Secondary repository and interacting participants of the tobacco industry is secured by TLS 1.2 encryption AES256 cypher. Cypher suites that are less secure are not supported. If the TLS version or cypher used proves to be corroded or vulnerable, Dentsu Aegis reserves the right to replace the affected item with a state-of-the-art item after prior announcement.

The repository system uses OAuth 2.0 to authorize access to the web service methods. OAuth 2.0 is the industry-standard protocol for authorization. OAuth 2.0 supersedes the work done on the original OAuth protocol created in 2006. OAuth 2.0 focuses on client developer simplicity while providing specific authorization flows for web applications, desktop applications and server to server communication.

The system uses the OAuth client credential flow. The client credentials flow is used as an authorization grant as the authorization scope is limited to the protected resources previously arranged with the authorization server (the server being the Secondary repository).

Access tokens are issued as credentials used to access protected resources. An access token is a string representing an authorization issued to the client. The string is opaque to the client and passed in the authentication header. Tokens represent specific scopes and durations of access, granted by the resource owner, and enforced by the resource server and authorization server. Tokens have an expiry of 3600 seconds (1 hour).

5.2.3 Version and backward compatibility

Dentsu Aegis provides an API versioning approach using a version identifier in the URL.

Example URL : <http://{{seconardayUrl}}/v1>

We currently see no reason to make a breaking change or enhancement that would require a V2 to be added. This convention is in place to facilitate all eventualities in the future.

Our goal would be to make releases to the API that are non-breaking by being backward compatible, for example adding additional return properties, not removing old ones.

5.2.4 Message identification and RecallCode

5.2.4.1 Overview

Economic operators have the possibility to recall requests, operational and transactional messages transmitted to the Secondary repository.

The reasons for recalling the original message may be:

1. Reported event did not materialise (only for messages related to dispatch events and trans-loading)
2. Message contained erroneous information
3. Other

5.2.4.2 RecallCode structure

UUID version 5

5.2.4.3 Messages

The following table describes the messages that are subject to Recall.

	Annex II Reference	
ISA	(2.2)	Request for reporting the issuance of Unique Identifiers at aggregated level
IRA		Data Request for reporting the issuance of Unique Identifiers at aggregated level
EPA	(3.2)	<i>Application of aggregated level UIs on aggregated packaging</i>
EDP	(3.3)	<i>Dispatch of tobacco products from a facility</i>
ERP	(3.4)	<i>Arrival of tobacco products at a facility</i>
ETL	(3.5)	<i>Trans-loading</i>
EUD	(3.6)	<i>Disaggregation of aggregated level UIs</i>
EVR	(3.7)	<i>Report of delivery carried out with a vending van to retail outlet</i>
EIV	(4.1)	<i>Issuing of the invoice</i>
EPO	(4.2)	<i>Issuing of the order number</i>
EPR	(4.3)	<i>Receipt of the payment</i>

5.2.4.4 Recall Process

The recall must include the message recall code provided to the message sender in the acknowledgement of the original message to be recalled and must also contain the following information:

- Reason for recalling the original message
- Description of the reason for recalling the original message
- Any additional explanations on the reason for recalling the original message

A recall with respect to operational and logistic events results in flagging the recalled message as cancelled but does not lead to the deletion of the existing database record.

5.2.4.5 RecallCode Field

Technically the recall code is gained from the original message's "code" property:

Example response:

```
{
  "Code": "6854f9a6-a2b2-4c08-8000-0173f3c35567",
  "Message_Type": 13,
  "Error": false,
  "Errors": null
}
```

Where the code is the recall id.

5.2.5 Message response

5.2.5.1 Successful response sample

HTTP Status 202

```
{
  "Code": "6854f9a6-a2b2-4c08-8000-0173f3c35567",
```

```
"Message_Type": 13,
"Errors": null
}
```

5.2.5.2 Error response sample

The system should provide the sufficient details to allow external systems, administrators to identify precisely the issue in order to act accordingly.

The response message can contain a list of error

```
"Errors": [
  { << Error >>},
  { << Error >>},
  { << Error >>},
],
```

Each error contains the following information.

- **Error_InternalID** is the unique identification of the message processing and validation activity.
- **Error_Code** is the identifier of the type within the systems.
- **Error_Descr** is the description in human readable format containing specific error information
- **Error_Data** is the data for which the error is talking about. This can be used for EO_IDs, F_IDs, M_IDs and Uids

Example of List of errors

```
{
  "Error_InternalID": "yndkFz7TBEO706frD38hzA",
  "Error_Code": "INVALID_REQUEST_FORMAT",
  "Error_Descr": "The EconomicOperatorIdentifier field is unknown."
  "Error_Data": "54G7J"
}
```

Security errors

HTTP status	Error Code	
401		Invalid security token
401		Expired security token

Processing errors

HTTP status	Error Code	
400	INVALID_REQUEST_FORMAT	This error is returned when at least one of the mandatory fields are missing.
400	INVALID_MESSAGE_TYPE	When the field "Message_Type" is out of the defined list.
400	INVALID_INPUT_FORMAT	When the body of the message doesn't contain a valid JSON.
500	SYSTEM_ERROR	Internal system error.

Error body sample

```
{
  "Code": " 6854f9a6-a2b2-4c08-8000-0173f3c35567",,,
}
```

```
"Message_Type": null,  
"Error": true,  
"Errors": [  
  {  
    "Error_InternalID": "yndkFz7TBEO706frD38hzA",  
    "Error_Code": "INVALID_REQUEST_FORMAT",  
    "Error_Descr": "The EconomicOperatorIdentifier field is required."  
  }  
]
```

5.2.6 Forward Rejected Messages.

It is a requirement that the Secondary repository must store validation failures, this including failures that occur on the Primary repositories and the Router.

A rejected message is defined as a message that fails due to a business validation reason.

It is not expected that the Secondary repository is sent failed authentication attempts, badly formed messages or anything other than the validations listed in the above sections.

5.2.6.1 Message Rejection processing

In case the message fails the validation, the system should

- log the message in the rejected message audit repository
- log the response information in the rejected message audit repository.
- send an error message to the requesting system with the details

5.2.6.2 The message should contain

- The original request
- The optional base request sections

```
{  
  "EO_ID": "ABC123",  
  "F_ID": "Facility Id A",  
  "Event_Time": "2018-08-23T07:32:20.7878086+00:00",  
  "upUI_1": [  
    "5cd2729e-6acc-4479-b67e-a26a84a6e88b121822",  
    "752a77ae-d2a3-4c47-bc92-6a40bd2e6ef121203"]  
  ],  
  "upUI_2": ["5cd2729e-6acc-4479-b67e-a26a84a6e88b", "752a77ae-d2a3-4c47-bc92-6a40bd2e6ef3"],  
  "upUI_comment": "upUI_comment",  
  "M_Type": 1,  
  "Code": "EUAadf81-68af-4b79-b29d-84238a40c46c",  
  "RejectionData": {  
    "Errors": [  
      {  
        "Error_Code": "INVALID_REQUEST_FORMAT",  
        "Error_Descr": "The EconomicOperatorIdentifier does not exist."  
        "ErrorData": "5cd272"  
      }  
    ]  
  },  
}
```

5.2.7 Message integrity and hash

The Repository Systems will verify the message checksum to ensure that the data was not tampered with between parts of the whole EU repository system. Messages where the hash is not valid shall not be accepted.

This integrity check ensures that the messages making up traffic cannot be altered in transit or within the parts of the EU repository, neither can messages be added or removed from the sequence, without detection.

The process is as follows:

The client adds a MD5 hash to the X-OriginalHash HTTP header.

This structure is then added to the message

Message Header

X-OriginalHash	1234567890abcdefghijklmnopqrstuvwxyz
Content-Type	application/json
Authorization	<Token>

HTTP status	Error Code	
401	INVALID_REQUEST_FORMAT	"The message signature does not match"

5.2.8 Message size

The maximum message size that we can accept is 8MB. If a Primary repository sees a need for a message to be over 8MB, the provider shall contact Dentsu Aegis.

We have a limit on the HTTP header size of 10'240 bytes.

5.2.9 Number of simultaneous connections

We have no limit regarding simultaneous connections.

5.2.10 Message limitation

A message that was positively acknowledged shall not be retransmitted a second time.

6 Router

6.1 Overview

The Router is responsible for:

- Validating data that is sent from the ID Issuer and the Economic operators.
- Sending data that it is sent from the Economic operators as web call and Flat files, to the Secondary repository checking that the message received is valid.
- Splitting and distributing operational and transactional messages coming in from the distribution chain to the relevant Primary repository.

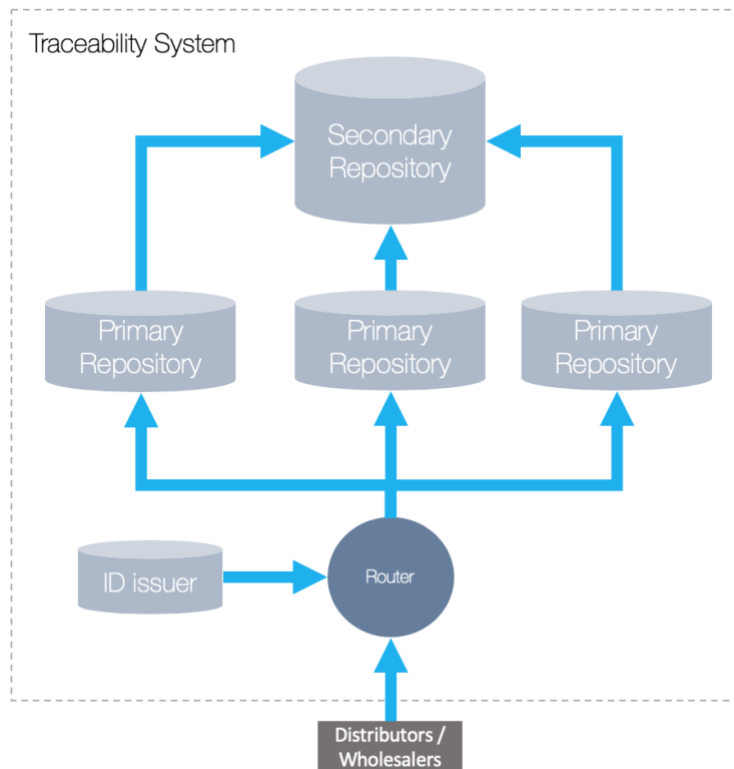


Figure 30 Router Data Flow

6.2 Recall Management

The router will propagate the recall messages following the initial routing and splitting.

7 Message Validation

7.1 Overview

Validation is the process to accept or reject an incoming message.

7.1.1 Principle: No duplication of validation

The complete traceability system should be considered as one system and the validation is performed at the first component of the system.

- Messages processed by the Router and transmitted to the Primary repository, should not be validated at the primary.
- Messages processed by the Primary repository and transmitted to the Secondary repository, should not be validated at the Secondary repository.

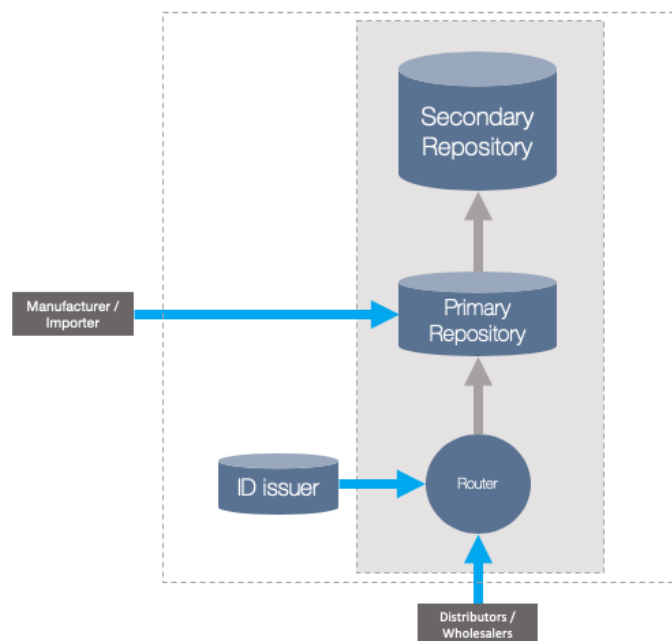


Figure 31 Validation Overview

7.2 Type of validation

7.2.1 Security validation

Control	Description	Scope
VAL_SEC_HASH	Integrity check of the checksum	All messages
VAL_SEC_TOKEN	Oauth Security Token validation	All messages

7.2.2 Message Structure validation

Control	Description	Scope
VAL_MSG_JSON	JSON structure check	All messages
VAL_MSG_TYPE	Message type validation	All messages
VAL_FIE_MAN	Mandatory Field validation (per message type)	All messages
VAL_FIE_FORMAT	Field format validation	All messages
VAL_FIE_REF	Existence of correctly reference enumerations. (As defined in data dictionary)	All messages

7.2.3 Unique Identifiers validation

7.2.3.1 Message level validation

Control	Description	Scope
VAL_UI_MULT_MSG	Multiple duplicate UI present in the messages.	IDA – EUA – EPA – EDP – ERP- ETL- EUD- EVR – EIV – EPO - EPR

7.2.3.2 Existence

Control	Description	Scope
VAL_UI_EXIST_MSG	UI validity Exists without Timestamp in the repository. (has never been applied).	EUA – EPA
VAL_UI_EXIST_TIME	UI validity – Exists and Active in the repository.	IDA – EUA – EPA – EDP – ERP- ETL- EUD- EVR – EIV – EPO - EPR

7.2.3.3 upUI Expiration

Control	Description	Scope
VAL_UI_EXPIRY	Validation that the application or the aggregation date doesn't exceed the 6 months period after the code has been issued.	EUA

7.2.3.4 Message sequence validation

Control	Description	Scope
VAL_UI_ORD_REACTIVATION	UI – UI is not applied after deactivation.	EUA
VAL_UI_ORD_DEACTIVATED	UI – presence of UI in a message after being deactivated.	EPA – EDP – ERP- ETL- EUD- EVR – EIV – EPO - EPR

7.2.4 Message Event Time Validation

Control	Description	Scope
VAL_EVT_TIME	Prior to 24 hours rule for shipment is a strict rule and the system shall reject non-compliant messages. Control is based on the “actual date – Event_Time” time difference	EDP
VAL_EVT_RECALL	Please note that a recall can be performed only up to 24 hours after the original message.	ISU-IRU-ISA-IRA

7.2.5 Identification Code validation

Control	Description	Scope
VAL_ENT_EXIST_EOID	Check if EOID, exists	ISU - IRU – IRA- IDA – EUA – EPA – EDP – ERP- ETL- EUD- EVR
VAL_ENT_EXIST_FID	Check if FID, exists	ISU -IRU – IRA- IRU- IRA– EUA - EPA
VAL_ENT_EXIST_MID	Check if MID, exists	ISU -IRU – IRA – IRU
VAL_ENT_ACTIVE_EOID	Check if EOID is marked as active in the repository	IRU – IRA- IDA – EUA – EPA – EDP – ERP- ETL- EUD- EVR
VAL_ENT_ACTIVE_FID	Check if FID is marked as active in the repository	IRU – IRA- IRU-IRA– EUA - EPA
VAL_ENT_ACTIVE_MID	Check if MID is marked as active in the repository	IRU – IRA- IRU
VAL_ENT_REL_EOID_FID	Check if EOID FID relation	IRU - IRA
VAL_ENT_REL_FID_MID	Check if FID MID relation	IRU - IRA

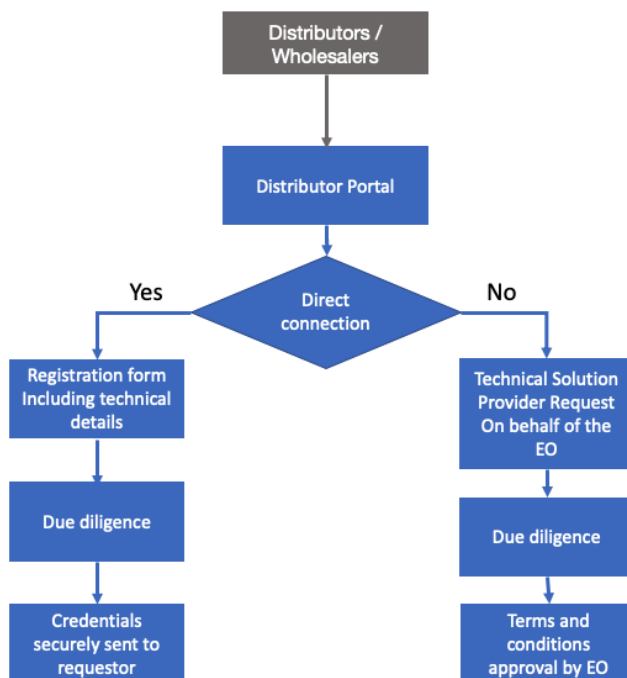
8 Sign up Process

8.1 Overview

The objective of the signup process is to connect ID Issuer, Economic operator and Primary repository to the Secondary repository.

All parties must have valid credentials to call the Router or the Secondary Repository. The flow diagram below gives an overview of how these credentials are gained

8.2 Overall flow



8.2.1 Technical Solution Provider

Distributors / Wholesalers can use a Technical Solution Provider to connect to the Router or connect directly.

When connecting through the Technical Solution Provider the Distributors / Wholesalers will have to sign terms and conditions prior to be enabled.

8.2.2 Economic Operator Validation

The Economic Operator must be defined in the EU Wide Register.

9 List of standards

1	OAuth 2	https://www.oauth.com/oauth2-servers/access-tokens/client-credentials/
2	ISO/IEC 9834-8:2014 Information technology -- Procedures for the operation of object identifier registration authorities -- Part 8: Generation of universally Unique identifier (UI) (UUIDs) and their use in object identifiers	https://www.iso.org/standard/62795.html

10 References

1	COMMISSION IMPLEMENTING REGULATION (EU) 2018/574 of 15 December 2017 on technical standards for the establishment and operation of a traceability system for tobacco products https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:32018R0574&from=GA
2	DIRECTIVE 2014/40/EU OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 3 April 2014 https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:32014L0040&from=EN